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 UK CL (Edition O) B6A ATC
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(54) Abstract Title

Security thread for banknotes having repeating geometric pattern

(57) The thread bears a reflective metallic layer partially demetallised to provide a design comprising a repeating geometric pattern of which the frequency, instantaneous and /or maximum amplitude varies along the length thereof, the design having a non-linear boundary.

FIG. 1.



FIG. 2.



FIG. 3.



FIG. 4.



FIG. 5.



FIG. 6.



FIG. 7.



FIG. 8.



FIG. 9.



FIG. 10.



At least one drawing originally filed was informal and the print reproduced here is taken from a later filed formal copy.

The print reflects an assignment of the application under the provisions of Section 30 of the Patents Act 1977.

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FIG. 1.

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FIG. 2.

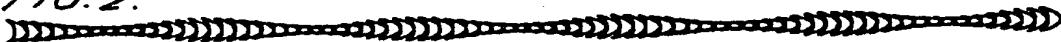


FIG. 3.



FIG. 4.



FIG. 5.



FIG. 6.



FIG. 7.



FIG. 8.



FIG. 9.



FIG. 10.

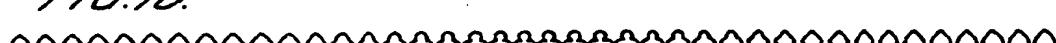


FIG. 1a.



FIG. 4a.



FIG. 5a.



FIG. 2a.



FIG. 5b.



FIG. 3a.



FIG. 4b.



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SECURITY ELEMENT FOR SECURITY PAPER

The invention relates to a security element for security paper for banknotes and the like having both
5 aesthetic and anti-counterfeitable qualities.

It is widely known to use in banknotes and other security documents security elements, such as security threads or strips, which are made from a transparent film provided with a continuous reflective metal layer, such as aluminium, which is vacuum deposited on, for example, polyester film. Banknotes made from such paper have been in general circulation in many countries for many years. When the security threads are embedded in the security paper and the
10 paper is subsequently printed to provide the security documents, the threads cannot be readily discerned in reflective light but is immediately transparent as a dark image when the document is viewed in transmitted light.
15

In recent times, in order to enhance the security of security documents, especially banknotes, against modern counterfeiting techniques making use of the sophisticated colour separation, printing and colour photocopier technology, it has become common to use a security thread comprising a thin layer of aluminium on a plastic support which is exposed on one side of the sheet at intervals along the length of the element, the region of exposure being referred to as a window. GB-A-1,552,853 and GB-A-1,604,463 disclose
20 banknotes containing such windows. Paper for use in producing such banknotes can be made using the method disclosed in our European Patent Specification EP-A-0,059,056. The widespread use of banknotes having security threads exposed in windows along the length
25 of the element has resulted in enhanced security. A
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banknote of this type provides added security against
counterfeitors as, when viewed in transmitted light,
the strip is seen as a dark line, and, when viewed in
reflected light on the appropriate side, the bright
5 shiny aluminium portions which are exposed at the
windows are readily visible. However, there is a need
for even greater security by the use of more
sophisticated devices in order to render the task of a
would be counterfeiter more difficult as the reflected
10 light appearance of the exposed aluminium portions of
a security device can be simulated by modern materials
and techniques, for example by the use of hot foil
stamping.

More recent developments in this field include
15 security paper with a security element as described in
US-A-4,652,015 in which a resist and etch technique is
used to selectively demetalise regions of a vacuum
deposited aluminium layer on a polyester film thereby
leaving security indicia comprising metal characters
20 on the plastic strip. The strip is wholly embedded in
the paper and the metal characters are undetectable to
the unaided eye and in reflective illumination whilst
only becoming legible in transmitted light. However,
this type of thread is unsuitable for windowed
25 applications. Because the characters are discrete and
separated, the resulting look in windows is confusing
and uneven.

A further improvement described in our patent
specification EP-A-0,319,157 which describes the use
30 of a partially metallised film having metal-free
portions of between 10% and 50% of the area of the
device, the metal-free portions along the length of
the device providing a repeating pattern, design or
indicia. The metal layer, however, retains a
35 continuous metal path along its length. Banknotes

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formed from security threads as described therein provide excellent security and a public security feature in which the threads are eminently "readable" by the public when viewing the threads in transmitted light without the aid of a lens or other viewing accessory. In transmitted light the threads appear as black strips which very clearly stand out against their surroundings. In the embedded version, the threads are almost invisible when viewed with reflected light, although obviously the threads can be used in windowed paper also. In the windowed version, the thread is seen in reflected light as silver blocks in the windows surrounding the metal free areas.

Whilst this type of thread produces an easily recognisable effect suitable for windows or embedding, the straight edges of the negative block can be mimicked by blocking techniques.

In EP-A-0659936 a security thread is described which consists of a light transmitting plastic film with a coating which is at least partly opaque and in which the thread comprises information in the form of visually and/or machine readable characters or pattern which extend from the opaque region into an adjacent light transmitting region. This has the advantage that the visual impression can only be imitated by means of very complex techniques.

EP-A-0659587 describes a security thread which again consists of a light transmitting plastic film with a coating which is at least partly opaque. The coating has light transmitting regions in the form of visually and/or machine readable characters or patterns, which form a first type of information. A second type of information in the form of visually and/or machine readable characters or patterns is additionally disposed on the plastic film and differs

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from the first type of information in terms of size and/or visual impression. In the examples described in the specification there is a combination of a negative inscription, which cannot be copied because 5 of the reflective light/transmitted light effect, with a further type of information which is particularly easy to distinguish by reflective light.
Alternatively the protective effect utilises the comparison between large easily legible negative 10 inscription and a small negative inscription which is visually difficult to resolve on a transparent plastic thread.

It is an object of the present invention to further enhance the security of security elements such 15 as threads.

According to the invention there is therefore provided a security element for wholly or partially embedding in security paper comprising an elongate strip of a light transmitting polymeric substrate, 20 such substrate bearing a reflective metallic layer on at least one surface thereof in the form of a design, said design comprising at least one repeating geometric pattern of which one or more of the frequency, the instantaneous amplitude and/or the 25 maximum amplitude of the pattern varies along the length of the element, said design having at least one non-linear boundary.

The use of a repeating geometric pattern, as opposed to printed messages, has the advantage that it 30 is more likely to be recognised on a narrow thread than alpha-numeric characters which become less legible as they get smaller. A repeating geometric pattern is aesthetically more attractive than the designs delineated by straight edges which are most commonly used currently and are described in 35

EP-A-0319157.

Preferably at least one of the repeating patterns has a maximum width of the reflective metal layer in the transverse direction of 0.8mm and more preferably of 0.5mm.

In a preferred embodiment of the invention, the width of the security element is greater than or equal to 2mm thus allowing integration of complex surface patterns into the paper substrate.

Preferably the design has at least one continuous metal path. This continuous metal path advantageously provides an electrically conductive path extending along the length of the element so that the element may be detected using conventional metal thread detection equipment on, for example, a used note sorting machine. The property of such threads being detectable with conventional metal thread detection equipment is a valuable property in helping to eliminate counterfeiting. Furthermore, by provision of a suitable detector, the distribution of metal within the security element may be determined by electrical or other means and compared to a reference pattern to provide a further technique for the authentication of a genuine document containing the element.

In a preferred embodiment of the invention the design is formed from metallic lines in which the widths of at least some of the lines lie in the range 0.05mm to 0.15mm inclusive. Thus the security element is designed so that it is exceptionally difficult, if not impossible, for a counterfeiter to mimic the presence of a thread by foil blocking onto paper, one of the traditional methods of counterfeiting threads, without having to resort to reproducing the thread and embedding it which is not commercially viable for

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them. The invention therefore provides enhanced anti-counterfeitability.

The width of at least some of the lines preferably lie in the range of 0.05mm to 0.10mm.

5 The preferred embodiment of the invention of the security element is at least partially embedded in the article. Preferably portions of the security element are exposed at the surface of the article at spaced intervals along the length of the security element at 10 windows in the article.

Many of the prior art security threads are not suitable for use as windowed threads especially those in which the indicia on the element are discrete and separated. This has a very confusing and uneven 15 effect in windows and looks very poor. In this embodiment of the invention, on the other hand, the design is such that it has an aesthetic appeal when viewed in the windows and as it is easily recognisable in transmitted and reflected light can be seen and 20 distinguished clearly.

In a preferred embodiment of the invention the window length is greater or equal to 5mm.

Preferably the repeating geometric pattern is in 25 register with the windows in the longitudinal direction of a security element so that an identical portion of the design is seen in each window. This makes it harder for counterfeiters as such registration is very difficult to imitate by foil blocking techniques.

30 The security article is preferably provided with printing on at least one side thereof to identify the article, said printing including a pattern corresponding to at least one of the repeating geometric metallic patterns of the security element. 35 This feature greatly enhances the aesthetic appeal of

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the article as a whole, whilst taking advantage of and enhancing the anti-counterfeiting feature of the security element itself.

In the security element of the present invention,
5 a transparent colourless polyester film, which is flexible and water-impermeable, is provided with a layer of metal on at least one side thereof. One suitable method is the vacuum deposition of aluminium or another suitable metal. The metallised film is
10 partially demetallised by a known technique to provide a design as described in more detail below. However, high reflectivity metallic inks or non-metallic opaque inks may be deposited by a printing technique to provide the design. The film is slit to form
15 individual security elements, or threads, having a width of at least 0.5mm and preferably at least 2mm. The security element is inserted into the paper, e.g. on a cylinder mould paper machine so that it is either wholly or partially embedded within the paper fibres.
20 The method by which the security element is embedded can either be by the method described in EP-A-0059056 or EP-A-0229654 or EP-A-0070172 or WO94/20683 or any other suitable method. If the method of EP-A-0070172 is used, it should be noted that the security element
25 requires permeable and impermeable areas.

Whilst the security element can be used in wholly embedded or windowed form, the latter is preferred as the design is then easily recognisable in both reflected and transmitted light, rather than just transmitted light as in the wholly embedded form.
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The windows in the surface of the paper may thus be substantially the same width as the security element or narrower as required in some of the aforementioned specifications.

35 The repeating geometric pattern(s) may be in

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register with the windows in the machine direction, so that an identical portion of the design is seen in each window. This requires a registration mechanism to be fitted to the thread insertion equipment at the 5 point of incorporation of the element into the paper at the wet end of the paper making machine.

The finished security paper is printed on one or both sides to identify the article or document formed from the paper. This printing preferably includes one 10 or more of the repeating patterns of the design on the security element itself or indeed the whole design. The security element may have a tinted coat to match the colour of the paper or the printing itself to enhance the visual effect of the metallic pattern.

15 Alternatively, the polyester substrate may, instead of being colourless and transparent, be coloured with a dye to match the printing.

20 Figures 1 to 10 illustrate some examples of designs for use on security elements according to the present invention which provide security enhancing features. The designs are made up of one or more varying geometric patterns, the whole combination of 25 which constitutes the design. Each of the designs has at least one non-linear boundary i.e. one of the extreme edges of the overall design.

In Fig. 1 the design has a single repeating geometric pattern the repeating element of which is shown in Fig. 1a, the pattern being created from a single metallic line providing a continuous metal path. The frequency of this pattern varies along the 30 length of the thread.

In Fig. 2, the design has a single repeating pattern made up from the repeating element shown in Fig. 2a. Each element of the pattern touches the 35 adjacent elements, so providing a continuous metal

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path along the length of the design. In this design the maximum amplitude of the pattern varies along the length of the security thread, i.e the maximum transverse width of each element of the pattern varies from element to element.

In the design of Fig. 3, there are two repeating geometric patterns each created from a single fine line having repeating elements corresponding to Fig. 3a, the patterns being offset from each other and each providing a continuous metal path. In this design the maximum amplitude of each pattern varies along the length of the thread.

In Fig. 4 the design has two different repeating geometric patterns of which the periodic curve of Fig. 4b provides a first repeating geometric pattern created from a fine metallic line of which the instantaneous amplitude, i.e. the perpendicular distance of each point on the curve from the central axis, varies along the length of the thread. In this design there is a second repeating geometric pattern, the repeating elements of which is shown in Fig. 4a, each element being discrete. The transverse width of each discrete element is preferably 0.8mm or less, and most preferably 0.5mm. A continuous metal path is provided along the length of the thread by the first pattern.

In Fig. 5 there are four repeating geometric patterns, each providing a continuous fine metal path. Two of the patterns are created from the repeating element of Fig. 5a and two from that of Fig. 5b. The two identical patterns are inverted with respect to each other about a central axis. The instantaneous amplitudes of each pattern vary along the length of the thread.

In Fig. 6 there are six discrete repeating

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geometric patterns each providing a continuous metal path. At least four of the patterns are periodic curves of which the instantaneous amplitudes vary along the length of the thread. The central two 5 patterns may be straight lines, or may also be periodic curves.

In Fig. 7 there are four repeating geometric patterns each of which comprises a periodic curve, the instantaneous amplitudes of which vary along the 10 length of the thread.

In Fig. 8 there are four repeating patterns, each comprising a periodic curve of which the maximum amplitude of the curves varies along the length of the thread. Each of the patterns is identical but offset 15 relative to each adjacent pattern along the longitudinal axis.

In Fig. 9 there are four repeating patterns, the maximum amplitude of which is constant, but of which the instantaneous amplitudes of each pattern vary 20 along the length of the thread.

In Fig. 10 the repeating pattern comprises a sequence of adjacent, but not touching, discrete elements in which each element is incrementally different from the element preceding it and the one 25 following it. Thus the repeating element of each pattern comprises the whole progression from, in this example, spades to hearts to clubs to diamonds and back to spades.

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CLAIMS:

1. A security element for wholly or partially embedding in security paper comprising an elongate strip of a light transmitting polymeric substrate, said substrate bearing a reflective metallic layer on at least one surface thereof in the form of a design, said design comprising at least one repeating geometric pattern of which one or more of the frequency, instantaneous amplitude and/or maximum amplitude of the pattern varies along the length of the element, said design having at least one non-linear boundary
- 15 2. A security element for wholly or partially embedding in security paper comprising an elongate strip of a light transmitting polymeric substrate, said substrate bearing a reflective metallic layer on at least one surface thereof in the form of a design, said design comprising at least one repeating geometric pattern, the pattern comprising a sequence of adjacent discrete elements each incrementally different from the preceding and following elements.
- 25 3. A security element as claimed in claim 1 or claim 2 in which the repeating pattern has a maximum width in the transverse direction of 0.8mm.
- 30 4. A security element as claimed in claim 3 in which the width in the transverse direction of the repeating pattern is 0.5mm.
- 35 5. A security element as claimed in any one of the preceding claims in which the width of the security element is greater than or equal to 2.0mm.

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6. A security element as claimed in any one of claims 1 to 5 in which the design has at least one continuous metal path.

5 7. A security element as claimed in any one of the preceding claims in which the design is formed from metallic lines in which the widths of at least some of the lines lie in the range 0.05mm to 0.15mm inclusive.

10 8. A security element as claimed in claim 7 in which the widths of at least some of the lines lie in the range 0.05mm to 0.10mm.

15 9. A security element as claimed in any one of the preceding claims in which the substrate is colourless.

10. A security element as claimed in any one of claims 1 to 8 in which the substrate is coloured.

20 11. A security element as claimed in any one of the preceding claims in which the element has a translucent coloured coat.

12. A security article including security paper comprising at least one elongate security element wholly or partially embedded in security paper, said security element comprising an elongate strip of a light transmitting polymeric substrate, said substrate bearing a reflective metallic layer on at least one surface thereof in the form of a design, said design comprising at least one repeating geometric pattern of which one or more of the frequency, instantaneous amplitude and/or maximum amplitude of the pattern varies along the length of the element, said design having at least one non-

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linear boundary.

13. A security article as claimed in claim 12 in
which portions of the security element are exposed at
5 the surface of the article at spaced intervals along
the length of the security element at windows in the
article.

14. A security article as claimed in claim 12 or
10 claim 13 wherein the window length is greater or equal
to 5.0mm.

15. A security article as claimed in claim 13 or
claim 14 in which the repeating geometric pattern is
15 in register with the windows in the longitudinal
direction of the security element so that an identical
portion of the design is seen in each window.

16. A security article as claimed in any one of
20 claims 12 to 14 in which the substrate and or the
element is coloured or has a coloured coating which
matches the colour of the paper in which it is
embedded.

25 17. A security article, especially a banknote, as
claimed in any one of claims 12 to 16, said article
being provided with printing on at least one side
thereof to identify the article, said printing
including a pattern corresponding to at least one of
30 the repeating geometric metallic patterns of the
security element.

35 18. A security element as claimed in claim 17 in
which the substrate or element is coloured or coated
with a coloured coating which matches the colour of

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the printing.

19. A security element substantially as hereinbefore
described with reference to and as shown in the
5 accompanying drawings.

20. A security article substantially as hereinbefore
described with reference to and as shown in the
accompanying drawings.

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Application No: GB 9706749.0
Claims searched: 1-20

Examiner: Graham Russell
Date of search: 22 April 1997

Patents Act 1977
Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.O): B6A (ATC)

Int Cl (Ed.6): B42D 15/00; D21H 21/42

Other:

Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
A	EP 0400902 A2 (PORTALS) see Figs 6 & 7	1,2,12

- X Document indicating lack of novelty or inventive step
- Y Document indicating lack of inventive step if combined with one or more other documents of same category.
- & Member of the same patent family

- A Document indicating technological background and/or state of the art.
- P Document published on or after the declared priority date but before the filing date of this invention.
- E Patent document published on or after, but with priority date earlier than, the filing date of this application.

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